

Item 09/046  
Doc: 10

**Meeting:** Trust Board Part 1  
**Date:** 18 March 2008

**Title:** NHS London risk ratings (Q3)

**Executive Summary:** Trust Board will recall that the Trust is required to submit quarterly reports on financial and non-financial performance to NHS London. The attached letter outlines the results of NHS London's Provider Agency review of the Whittington's quarter three submission. NHS London calculates the Trust's risk rating based on the evidence submitted.

The Whittington Hospital has been risk rated as follows:

- o Finance – 3
- o Governance – amber
- o Services provided – green
- o Quality and safety – amber

The financial risk rating of three is coherent with the risk rating assessed internally and reported to the Board through the dashboard report.

The Trust attained an amber governance risk rating as a result of the Serious Untoward Incident (SUI) regarding data loss. The Trust will need to provide assurance that all actions arising from the investigation of the SUI have been implemented. The Trust has provided NHS London with an update of progress against the action plan. All actions are due to complete within quarter four except for the encryption of USB memory sticks. This item may be delayed as new software which offers a more robust encryption system is being released in the coming weeks and implementation of this into the Trust may exceed the original planned timescales. The Trust is in communication about this with NHS London. Further updates on progress against this will be provided to NHS London with the quarter four submission.

The Trust attained an amber quality and safety risk rating as a result of its being behind trajectory for MRSA performance. The Whittington reported four MRSA bacteraemia against a target of four in Q3.

**Action:** For information

**Report from:** Fiona Elliott, Director Planning & Performance

**Sponsor:** David Sloman, Chief Executive

**Financial Validation**

Lead: Director of Finance

Name of finance officer

N/a

<b>Compliance with statute, directions, policy, guidance</b> Lead: All directors	<b>Reference:</b> FT application process
<b>Compliance with Healthcare Commission Core/Developmental Standards</b> Lead: Director of Nursing & Clinical Development	<b>Reference:</b> N/a
<b>Compliance with Auditors' Local Evaluation standards (ALE)</b> Lead: Director of Finance	<b>Reference:</b> N/a
<b>Evidence for self-certification under the Monitor compliance regime</b> Lead: All directors	<b>Compliance framework reference:</b> Management of the Governance risk rating