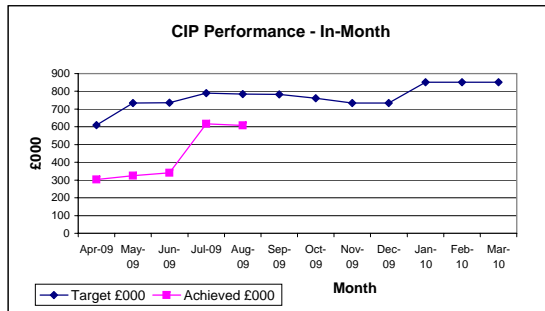


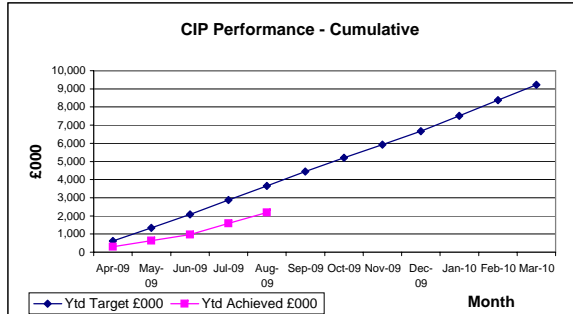
Appendix 1: Finance Charts detailing information included in dashboard

	Monthly Performance	Year To Date Performance	Full Year Forecast Performance - after recovery plans																																																								
Risk rating	N/A	<table><thead><tr><th>Weighting</th><th>Metric Description</th><th>Rating</th><th>Weighted Value</th></tr></thead><tbody><tr><td>10%</td><td>EBITDA achieved (% of plan)</td><td>3</td><td>0.30</td></tr><tr><td>25%</td><td>EBITDA margin (%)</td><td>3</td><td>0.75</td></tr><tr><td>20%</td><td>Return on Assets (%)</td><td>2</td><td>0.40</td></tr><tr><td>20%</td><td>I&amp;E surplus margin (%)</td><td>2</td><td>0.40</td></tr><tr><td>25%</td><td>Liquid ratio (days)</td><td>1</td><td>0.25</td></tr><tr><td></td><td>Overall rating</td><td></td><td>2.10</td></tr></tbody></table> <p>This is shown as RED in the dashboard as it is &lt;= 2</p>	Weighting	Metric Description	Rating	Weighted Value	10%	EBITDA achieved (% of plan)	3	0.30	25%	EBITDA margin (%)	3	0.75	20%	Return on Assets (%)	2	0.40	20%	I&E surplus margin (%)	2	0.40	25%	Liquid ratio (days)	1	0.25		Overall rating		2.10	<table><thead><tr><th>Weighting</th><th>Metric Description</th><th>Rating</th><th>Weighted Value</th></tr></thead><tbody><tr><td>10%</td><td>EBITDA achieved (% of plan)</td><td>4</td><td>0.40</td></tr><tr><td>25%</td><td>EBITDA margin (%)</td><td>3</td><td>0.75</td></tr><tr><td>20%</td><td>Return on Assets (%)</td><td>3</td><td>0.60</td></tr><tr><td>20%</td><td>I&amp;E surplus margin (%)</td><td>3</td><td>0.60</td></tr><tr><td>25%</td><td>Liquid ratio (days)</td><td>1</td><td>0.25</td></tr><tr><td></td><td>Overall rating</td><td></td><td>2.60</td></tr></tbody></table> <p>This is shown as RED in the dashboard as it is &lt;= 2</p>	Weighting	Metric Description	Rating	Weighted Value	10%	EBITDA achieved (% of plan)	4	0.40	25%	EBITDA margin (%)	3	0.75	20%	Return on Assets (%)	3	0.60	20%	I&E surplus margin (%)	3	0.60	25%	Liquid ratio (days)	1	0.25		Overall rating		2.60
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Overall Income & Expenditure	<p>Overall I&amp;E - In-Month Performance</p> <p>An in-month I&amp;E surplus of £232k (before impairments) against a planned deficit of £407k giving a favourable variance of £640k in the month primarily due to IFRS restatement adjustments following recent DH guidance. Within this, income is £575k above plan (including in-month net provision release of £640k) and expenditure is £389k above plan.</p>	<p>Overall I&amp;E - Cumulative Performance</p> <p>Cumulative I&amp;E performance to August is a deficit of £716k against a planned deficit of £237k, giving an adverse variance of £479k to date. Within this, income is £1.4m above plan (including £1.15m provision release) and expenditure is £2.4m above plan.</p>	<p>Overall I&amp;E - Cumulative Performance Projection Before Further Recovery Action</p> <p>The plan is a £212k surplus for 2009/10 (before impairments). Achievement is heavily dependent upon recovery plans delivering, and for other identified risks to not materialise - the chart above shows the forecast year-end position before further recovery actions are taken. However, a £212k surplus remains the forecast position.</p>																																																								
Performance against Trust NHS Income Plan - 1 month lag	<p>Performance against Internal Income Plan - In-Month</p> <p>July NHS clinical income was £311k above the Trust's planned level. This represented a £1.1m over-performance against SLAs. In addition, late data entry for June totalled £148k. However, preliminary uncoded data indicates a likely under-performance in August (estimated at £629k)</p>	<p>Performance against Internal Income Plan - Cumulative</p> <p>Cumulative performance against the Trust's internal NHS clinical income plan is a favourable variance of £344k to July.</p>	<p>Internal Income Plan - Cumulative Performance Forecast (Likely Case)</p> <p>Forecast performance against the Trust's income plan for the year is based upon income on-plan for the remainder of the year, with the exception of August estimated adverse variance of £629k which is already included in the Month 5 financial position.</p>																																																								

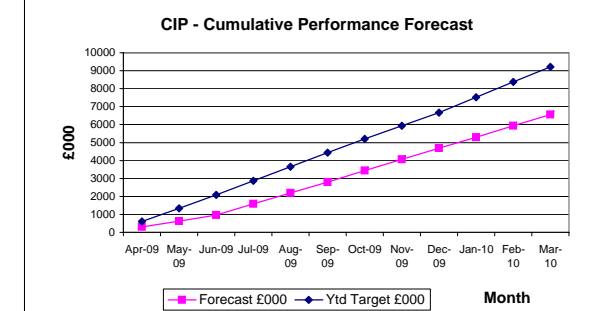
### Cost Improvement Plan



Validated achievement in August was £177k worse than planned. In addition, July's figures were restated in the light of additional data that showed CIP achievement was lower than reported in Month 4.



Cumulative validated CIP is £1.5m worse than planned at the end of August.



The current cumulative performance forecast assumes that current underachievement continues unless directors and managers have provided clear evidence that this is not the case.

### Cash position against plan

#### In-Month position for Month 5 (August 2009)

The closing Balance at the end of August was £2.3m. A significant amount of outstanding 2008/09 and Month 1 SLA over-performance debt was settled in August, allowing the clearance of a backlog of creditor invoices. The year-end forecast cash position is heavily reliant upon prompt payment by PCTs for 2009/10 over-performance.

