

ITEM: 11/021
Doc: 18

Meeting: Trust Board
Date: 26 January 2011

Title: **Trust Risk Register**
Post September 2010 review

Executive Summary: The Board is presented here with the Risk Register. The Risk Register is reviewed by the Executive Committee quarterly and is approved by Audit Committee following this review. Due to the timing of the change in personnel of the Director of Nursing the Risk Register has not been approved by the Trust Board this financial year.

The version of the Risk Register presented today was reviewed by the Executive Committee in September 2010 and approved by Audit Committee in November 2010. The Board should be assured that given the lapse of time between this version of the Register being approved and presented to Trust Board significant progress against a number of the risks has been made which will be reflected in the Risk Register when it is presented to Audit Committee in March 2011. The updated version will be presented to Trust Board in May 2011

The attached Risk Register outlines the operational risks identified by Directors and outlines actions being taken to mitigate against those risks. The risks were cross referenced to the risks in the Board Assurance Framework as at November 2010. Following discussions at Executive Committee, a number of amendments were made to the register to provide a more accurate description of the risk and an improved flow of information. The 'Residual Risk Rating' column was removed and the final column now records the residual risk rating following implementation of actions to mitigate against the risk.

The Audit Committee approved the attached Register at its meeting in November 2010 but made a number of recommendations to be included in future reviews. These recommendations are being implemented in the current (December 2010) review of the Register which will be presented to Audit Committee for approval at its March 2011 meeting.

The September 2010 review identified 6 new risks - These are highlighted in Appendix 1a. 8 risks had their risk ratings changed. Of these, no risk ratings increased – These are highlighted in Appendix 1b

Action:

- To Note
- To agree that the Risk Register is presented to the Trust Board twice yearly.



Report from:	Deborah Clatworthy, Assistant Director of Nursing, Risk management
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Sponsor:	Bronagh Scott, Director of Nursing & Clinical Development
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Financial Validation Lead: Director of Finance	Name of finance officer
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Compliance with statute, directions, policy, guidance Lead: All directors	Reference: NHSLA acute standards for trusts- standard 2
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Compliance with Care quality Commission standards Lead: Director of Nursing & Clinical Development	Reference: Regulation 10 / Outcome 16: " Assessing and monitoring the quality of service".
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Compliance with Auditors' Local Evaluation standards (ALE) Lead: Director of Finance	Reference:
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Evidence for self-certification under the Monitor compliance regime Lead: All directors	Compliance framework reference:
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