

# Antifungal Guideline for Invasive Fungal Infections in Adults

Subject:	Antifungals for Invasive Fungal Infections in Adults
Policy Number	[IPC/Micro ]
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Policy Executive Owner:	Dr Michael Kelsey, Consultant Microbiologist
Designation of Author:	Ai-Nee Lim, Lead Antimicrobial Pharmacist
Name of Assurance Committee:	Drugs & Therapeutics Committee
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Target Audience:	All clinical staff involved in prescribing, dispensing and administering antifungals. Doctors, nurses, midwives and pharmacists
Key Words:	Antifungal, fungal, yeast, mould, candida, aspergillus, pneumocystis, PCP, fluconazole, amphotericin, voriconazole, caspofungin, itraconazole

## Version Control Sheet

Version	Date	Author	Status	Comment
1.0	Sept 2009	Ai-Nee Lim	In-active	First version
1.0	Jan 2012	Ai-Nee Lim	In-active	Date revision
2.0	Sept 2015	Ai-Nee Lim	Active	Transfer on to new template. Section on pneumocystis jirovecii (carinii) pneumonia and cryptococcal meningitis has been updated to reflect national and international guidelines.

### **Invasive fungal infection**

Invasive fungal infections are seen mostly in:

1. Intensive care patients, who are not necessarily neutropenic, but are compromised due to:
  - breaches in their integument e.g. extensive abdominal surgery,
  - presence of long-term intravascular lines,
  - receiving parenteral nutrition (PN),
  - severe systemic illness or burns, or
  - prolonged broad-spectrum antibiotic therapy.
2. Patients with prolonged neutropenia or sustained immunosuppression following intensive chemotherapy, bone marrow transplant or solid organ transplantation.
3. Patients immunocompromised due to HIV-infection.

### **Definitions**

#### **Proven infection:**

Positive blood cultures or culture from a sterile site with clinical or radiological abnormality OR histology/cytochemistry showing yeasts/hyphae from a biopsy with evidence of tissue damage.

#### **Probable and Possible infection:**

Combinations of host factors (fever, neutropenia and resistance of fever of unknown origin to broad-spectrum antibacterials) plus clinical, microbiological and radiological criteria.

This purpose of this guideline is to provide guidance on the selection of antifungal therapy for serious, invasive fungal infections. It is based upon current published evidence at the time of writing. It is not intended to be a comprehensive clinical pathway or a substitute for consultation with Microbiology.

## ➤ Clinical indications

### HIGH RISK INTENSIVE CARE UNIT and SURGICAL PATIENTS

Patients who are pyrexial (temperature > 38°C) despite being on antibacterials for 48 hours and have had gastric/duodenal/pancreatic/hepatic/complex abdominal surgery - consider antifungals. Septic screen crucial.

1<sup>st</sup> line: **Fluconazole** 400mg IV OD (initial loading dose: 800mg stat).

2<sup>nd</sup> line: **Anidulafungin** 200mg IV on first day then 100mg IV OD.

NB: Review treatment after 5 – 7 days against culture and sensitivity results. Discuss with Microbiology.

### HAEMATOLOGY / ONCOLOGY

#### EMPIRICAL THERAPY

Febrile neutropenic patients (<1.0 x 10<sup>9</sup>/L) unresponsive to broad-spectrum antibacterials for 96 hours.

1<sup>st</sup> line: **Ambisome** 3mg/kg IV OD (initial test dose: 1mg over 10 minutes). Max. period of treatment 42 days.

2<sup>nd</sup> line: **Voriconazole** 6 mg/kg IV every 12 hours for 2 doses then 4mg/kg IV every 12 hours.

NB: Continue until afebrile for 72 hours. If high resolution CT scan of chest, CT scan of upper abdomen and X-ray of sinuses are normal and no other clinical suspicion of invasive fungal infection, discontinue antifungal therapy.

#### PROBABLE OR PROVEN INFECTION

1<sup>st</sup> line: Treat according to tissue cultures and biopsies, supplemented by radiological findings. Discuss with Microbiology.

#### PRIMARY PROPHYLAXIS

High risk patients (e.g. allogeneic stem cell transplant<sup>‡</sup>, AML chemotherapy, adult ALL chemotherapy\*, high-dose steroid / cytarabine / fludarabine regimens, chronic graft-versus-host disease, graft rejection/failure):

<sup>‡</sup> If conditioning contains cyclophosphamide, itraconazole should start 48 hours AFTER the end of chemotherapy. \* Avoid using itraconazole, posaconazole and voriconazole with vinca alkaloid containing regimen i.e. vinblastine or vincristine due to increased risk of neurotoxicity.

1<sup>st</sup> line: **Itraconazole** 200mg PO BD

For patients receiving vinca alkaloids i.e. vinblastine and vincristine containing regimens:

Alternative: **Ambisome** 2mg/kg IV Mon/Wed/Fri

NB: Continue until unsupported neutrophil count > 1 x 10<sup>9</sup>/L. Allograft patients will continue longer in presence of GvHD or therapy with corticosteroids.

#### SECONDARY PROPHYLAXIS

Patients presenting with neutropenia or graft-versus-host disease, who have had previous probable or proven invasive fungal infection but is currently regarded as inactive:

1<sup>st</sup> line: **Itraconazole** 200mg PO BD

Patients whose previous infection broke through itraconazole prophylaxis/unresponsive to itraconazole:

Alternative: **Voriconazole** 400mg PO every 12 hourly for 2 doses then 200mg PO BD (half both the loading and maintenance dose if body-weight under 40kg)

or

**Ambisome** 2mg/kg IV Mon/Wed/Fri (if patient receiving vinca alkaloids)

NB: Continue until unsupported neutrophil count > 1 x 10<sup>9</sup>/L. Allograft patients will continue longer in presence of GvHD or therapy with corticosteroids.

## ➤ Treatment of Specific Fungal Disease

# CANDIDIASIS:

Candida is a commensal in the oropharynx and the bowel. *Candida albicans* is the commonest species, but others include *C. glabrata*, *C. tropicalis*, *C. krusei*, *C. parasilosis*. Following are the groups likely to need treatment for candidosis:

- High risk ITU patients:  
Long term central venous catheters, diabetes, abdominal surgery, exposure to broad spectrum antibacterials (>7 days), steroid use, immunosuppression, PN, renal failure, prolonged ITU stay (>15 days), *candida* colonisation ( $\geq 3$  sites) or mechanical ventilation. Evidence shows increase in the number of *non-albicans candida* in ITU.
- High risk Haematopoietic Stem Cell Transplantation (HSCT) patients:  
First 3 weeks of Intensive conditioning for HSCT, AML induction, high dose cytarabine, severe mucositis or colonisation by yeasts at > 1 site during neutropenia.
- HIV patients
- Intravenous drug users

Definition of candidaemia: presence of positive blood cultures with *candida sp.*.

## TREATMENT FOR CANDIDAEMIA / CANDIDIASIS

### 1. Non-neutropenic patients and ITU patients

1<sup>st</sup> line: **Fluconazole** 400mg IV OD (initial loading dose: 800mg stat).

In patients with previous azole exposure, severe infection (hemodynamic instability) or if organism confirmed to be *C. glabrata* or *C. krusei* :

Alternative: **Anidulafungin** 200mg IV on first day then 100mg IV OD

NB: Treat for 14 days after first negative blood culture. Removal of all intravascular devices if possible. Ophthalmological examination and ECHO recommended.

### 2. Neutropenic patients

1<sup>st</sup> line: **Anidulafungin** 200mg IV on first day then 100mg IV OD

Alternative: **Ambisome** 3mg/kg IV OD (initial test dose: 1mg over 10 minutes)

or

**Voriconazole** 400mg PO every 12 hours for 2 doses then 200mg PO every 12 hours (half both the loading and maintenance dose if body-weight under 40kg)

NB: Above regimens will be altered according to further microbiological identification and sensitivities.

### 3. Candida osteomyelitis/septic arthritis/endocarditis/endophthalmitis/central nervous infection

1<sup>st</sup> line: Discuss all suspected cases with microbiology Team

## PROPHYLAXIS IN HIGH RISK GROUPS

Repeated gastrointestinal perforations and anastomotic leaks, liver and pancreatic transplant:

1<sup>st</sup> line: **Fluconazole** 400mg IV OD (initial loading dose: 800mg stat).

NB: Review treatment after 5 – 7 days against culture and sensitivity results. Discuss with Microbiology.

# ASPERGILLOSIS:

Risk factors for Invasive Aspergillosis:

- Allogenic HSCT with steroid dependent GVHD or graft failure.
- Intensive chemotherapy for AML, and ALL or advanced myelodysplastic syndrome with prolonged.
- Neutropenia (> 21 days).
- Previous mould infection during previous neutropenia
- Exposure to high levels of environmental spores during neutropenia.

## **TREATMENT OF ACUTE INVASIVE ASPERGILLOSIS**

Surgical interventions crucial in management of sinonasal, paranasal granuloma, osteomyelitis, cerebral and endocarditis.

1<sup>st</sup> line: **Voriconazole** 6 mg/kg IV every 12 hours for 2 doses then 4mg/kg IV every 12 hours (reduce to 3mg/kg IV BD if not tolerated). Max: 6 months.

2<sup>nd</sup> line: **Ambisome** 3 – 5 mg/kg IV OD (initial test dose: 1mg over 10 minutes)

NB: Minimum 2 week treatment for Acute Invasive Aspergillosis.

# PNEUMOCYSTIS JIROVECI (CARINII) PNEUMONIA:

Risk factors for PCP including:

- HIV patients with CD4 < 200 cells/ $\mu$ L
- Neutropenic patient
- Other immunosuppressed groups

Treatment should be started in all suspected cases. Do NOT wait for the results of the HIV screen to be available.

Definition:

<b>Moderate to Severe</b>	Oxygen PaO <sub>2</sub> room air, at rest $\leq$ 9.3kPa ( $\leq$ 70mmHg) or SpO <sub>2</sub> at rest on air < 92%
<b>Mild to Moderate</b>	Oxygen PaO <sub>2</sub> room air, at rest > 9.3kPa (> 70mmHg)

PCP will need subsequent referral to the Chest team for bronchoscopy, in order to confirm PCP on BAL.

In G6PD deficiency, caution use of Co-trimoxazole, Primaquine and Dapsone. If an individual develops haemolysis, is confirmed to be G6PD-deficient or has high risk of significant G6PD deficiency, discuss treatment options with a haematologist.

The decision to switch from one therapy to another due to suspected treatment failure should only be considered after at least 5 days of anti-PCP therapy.

## **PNEUMOCYSTIS JIROVECI (CARINII) PNEUMONIA (PCP) TREATMENT – MODERATE to SEVERE**

1 <sup>st</sup> line:	<b>Co-trimoxazole</b> 30mg/kg IV QDS for <b>3 DAYS</b> then reduce to <b>Co-trimoxazole</b> 30mg/kg IV/PO TDS for a further <b>18 DAYS</b> . (Dose according to Actual Body Weight. Round up to a convenient dose drawn from the 96mg/ml ampoule).  <b>PLUS</b> (ideally started at the same time as the anti-PCP therapy and certainly within 72 hours of starting anti-PCP therapy): <b>Prednisolone</b> Day 1 – 5 (for 5 days): 40mg PO BD Day 6 – 10 (for 5 days): 40mg PO OD Day 11 – 21 (for 11 days): 20mg PO OD <b>OR</b> (if unable to take oral preparation): <b>Methylprednisolone</b> IV at 75% of the respective prednisolone dose.
2 <sup>nd</sup> line:	<b>Clindamycin</b> 600mg IV QDS (or 450mg PO QDS) for 21 days <b>PLUS</b> <b>Primaquine</b> 15 – 30mg PO OD for 21 days  <b>PLUS</b> (ideally started at the same time as the anti-PCP therapy and certainly within 72 hours of starting anti-PCP therapy): <b>Prednisolone</b> Day 1 – 5 (for 5 days): 40mg PO BD Day 6 – 10 (for 5 days): 40mg PO OD Day 11 – 21 (for 11 days): 20mg PO OD <b>OR</b> (if unable to take oral preparation): <b>Methylprednisolone</b> IV at 75% of the respective prednisolone dose.

## **PNEUMOCYSTIS JIROVECI (CARINII) PNEUMONIA (PCP) TREATMENT – MODERATE to SEVERE (continue)**

3<sup>rd</sup> line: **Pentamidine isethionate** 4mg/kg IV OD for 21 days  
(Dosed according to Ideal Body Weight (IBW) if obese i.e. > 15% over IBW.  
IBW = 50kg [male] or 45.5kg [female] + (0.91 x every cm over 152.4cm).

**PLUS** (ideally started at the same time as the anti-PCP therapy and certainly within 72 hours of starting anti-PCP therapy):

**Prednisolone**  
Day 1 – 5 (for 5 days): 40mg PO BD  
Day 6 – 10 (for 5 days): 40mg PO OD  
Day 11 – 21 (for 11 days): 20mg PO OD

**OR** (if unable to take oral preparation): **Methylprednisolone** IV at 75% of the respective prednisolone dose.

## **PNEUMOCYSTIS JIROVECI (CARINII) PNEUMONIA (PCP) TREATMENT – MILD to MODERATE**

1<sup>st</sup> line: **Co-trimoxazole** 1920mg PO TDS (or 30mg/kg IV/PO TDS) for 21 days  
(NB: Dose according to Actual Body Weight).

2<sup>nd</sup> line: **Trimethoprim** 5mg/kg PO QDS **PLUS Dapsone** 100mg PO OD for 21 days  
(NB: Dose according to Actual Body Weight).

3<sup>rd</sup> line: **Atovaquone** 750mg PO BD for 21 days  
(NB: Take with food, particularly high fat, to improve bioavailability).

## **PNEUMOCYSTIS JIROVECI (CARINII) PNEUMONIA (PCP) – PROPHYLAXIS REGIMENS**

Prophylaxis for HIV patients with persistent CD4 < 200 cells/μL, previous PCP or other AIDS-defining illness:

1<sup>st</sup> line: **Co-trimoxazole** 480mg PO OD

2<sup>nd</sup> line: **Dapsone** 50mg PO OD  
**PLUS Pyrimethamine** 50mg PO ONCE WEEKLY (to protect against toxoplasmosis)  
(NB: If issues with compliance, consider Dapsone 200mg PO **PLUS** Pyrimethamine 75mg PO both given ONCE WEEKLY as an alternative)



# CRYPTOCOCCOSIS

## 1. Cryptococcal meningitis in HIV positive patients:

1<sup>st</sup> line: **Ambisome** 3 mg/kg IV OD (initial test dose: 1mg over 10 minutes) for 2 weeks  
*PLUS Flucytosine* 25 mg/kg IV/PO QDS for 2 weeks  
*FOLLOWED BY Fluconazole* 400mg PO OD for 8 weeks  
*MAINTAINENCE THERAPY: Fluconazole* 200mg PO OD long term for at least 12 months and until HIV controlled by antiretroviral therapy (i.e. undetectable HIV viral load and CD4 > 100 cells/ $\mu$ L on two occasions 6 months apart).

If bone marrow suppression with Flucytosine:

Alternative: **Ambisome** 3 mg/kg IV OD (initial test dose: 1mg over 10 minutes) for 2 weeks  
*PLUS Fluconazole* 800 mg PO OD for 2 weeks  
*FOLLOWED BY Fluconazole* 400 mg PO OD for 8 weeks  
*MAINTAINENCE THERAPY: Fluconazole* 200mg PO OD long term for at least 12 months and until HIV controlled by antiretroviral therapy (i.e. undetectable HIV viral load and CD4 > 100 cells/ $\mu$ L on two occasions 6 months apart).

If Ambisome contraindicated / not appropriate:

Alternative: **Fluconazole** 800 mg PO OD for 6 weeks  
*PLUS Flucytosine* 25 mg/kg IV/PO QDS for 6 weeks  
*MAINTAINENCE THERAPY: Fluconazole* 200mg PO OD long term for at least 12 months and until HIV controlled by antiretroviral therapy (i.e. undetectable HIV viral load and CD4 > 100 cells/ $\mu$ L on two occasions 6 months apart).

## 2. Cryptococcal meningitis in non-HIV positive patients:

1<sup>st</sup> line: **Ambisome** 3 mg/kg IV OD (initial test dose: 1mg over 10 minutes) for 2 weeks  
*PLUS Flucytosine* 25mg/kg IV QDS for 2 weeks  
(NB: Consider a longer course of induction therapy of 4 – 6 weeks in those with high risk therapeutic failure e.g. uncontrolled underlying disease, inadequate clinical response to initial 2-week induction therapy or neurological complications)  
*FOLLOWED BY Fluconazole* 400mg PO OD for 8 weeks  
*MAINTAINENCE THERAPY: Fluconazole* 200mg PO OD long term for at 6 – 12 months.

If bone marrow suppression with Flucytosine:

Alternative: **Ambisome** 3 mg/kg IV OD for 6 – 10 weeks.

# OTHER MOULD INFECTIONS

### 3. Antifungal Agents

	FLUCONAZOLE	ITRACONAZOLE	AMBISOME (LIPOSOMAL AMPHOTERICIN B)	VORICONAZOLE	ANIDULAFUNGIN	FLUCYTOSINE
<b>Formulary status</b>	General use	Restricted antifungal - Microbiology approval required	Restricted antifungal - Microbiology approval required	Restricted antifungal - Microbiology approval required	Restricted antifungal - Microbiology approval required	Restricted antifungal - Microbiology approval required
<b>Dosage</b>	IV / PO	IV / PO	IV	IV / PO	IV	IV
<b>Oral bioavailability</b>	> 90%	55%	N/A	> 95%	N/A	N/A
<b>Activity</b>	Fungistatic	Fungistatic	Fungicidal	Fungicidal against <i>Aspergillus</i> spp. Fungistatic against <i>Candida</i> spp.	Fungicidal against <i>Candida</i> spp. Fungistatic against <i>Aspergillus fumigatus</i>	Fungicidal
<b>CSF penetration</b>	Excellent (~ 80%)	Poor (< 10%)	Poor (< 2.5%)	Good (40 – 60%)	Unknown	Good (60 – 75%)
<b>Elimination route</b>	Renal	Hepatic	Unknown	Hepatic	Hepatic	Renal
<b>Renal dose adjustment</b>	Yes	No  Avoid use of IV formulation in CrCl<30ml/min. Accumulation of cyclodextrin component	No	No  Caution use of IV formulation in CrCl<50ml/min. Accumulation of cyclodextrin component	No	Yes
<b>Hepatic dose adjustment</b>	No	Yes	No	Yes	No	No
<b>Toxicities</b>	Hepatotoxicity (high doses and prolonged therapy)	GI Hepatotoxicity Negative inotropic effect	Nephrotoxicity Infusion related reactions Electrolyte abnormalities	Visual disturbances Hepatotoxicity Rash Hallucinations	Hypokalaemia GI Rash Elevated liver enzymes	Bone marrow suppression GI Rash Hepatotoxicity
<b>Potential drug interaction</b>	+	+++	-	+++	-	-

➤ **Appendix 1**

This table is a guide to clinical susceptibility of fungi and should be used to guide empirical treatment of suspected fungal infections in the absence of laboratory confirmation. It is not intended to substitute specialist advice or laboratory data.

Organism	Azoles			Echinocandins	Polyenes	Others
	Fluconazole	Itraconazole	Voriconazole	Anidulafungin	Ambisome	Flucytosine
<b>Yeasts</b>						
<i>Candida albicans</i>	S	S	S	S	S	S
<i>Candida glabrata</i>				S		S
<i>Candida krusei</i>			S	S		
<i>Candida lusitanae</i>	S	S	S	S		S
<i>Candida parapsilosis</i>	S	S	S		S	S
<i>Candida tropicalis</i>	S	S	S	S	S	S
<i>Cryptococcus neoformans</i>			S		S	S
<b>Dimorphic fungi</b>						
<i>Histoplasma capsulatum</i>		S	S		S	
<b>Moulds</b>						
<i>Aspergillus spp.</i>			S	S	S	
<i>Scedosporium apiospermum</i>			S			
<i>Fusarium spp.</i>						
<b>Zygomycetes</b>						
<i>Absidia, Apophysomyces</i>					S	
<i>Mucor</i>					S	
<i>Rhizomucor, Rhizopus</i>					S	

S	Susceptible
	Susceptibility dependent on achieving the maximal blood concentration of antifungal agent
	Variable susceptibility
	Resistant
	No data available

## ➤ Contacts (inside and outside the Trust including out-of-hours contacts)

### During working hours (Monday to Friday, 09:00 – 17:00)

ST Doctor in Microbiology	ext. 5085 or bleep 3069
Dr Michael Kelsey (Consultant Microbiologist)	ext. 5082
Dr Julie Andrews (Consultant Microbiologist)	ext. 3894
Lead Pharmacist, Antimicrobials	ext. 3732 or bleep 3138
Medicines Information	ext. 5021

### Out of hours

On-call SpR in Microbiology	aircall via Whittington switchboard
On-call pharmacist	aircall Via Whittington switchboard

## ➤ References

1. BRITISH COMMITTEE FOR STANDARDS IN HAEMATOLOGY (2008) Guidelines on the management of invasive fungal infection during therapy for haematological malignancy. Available at: <http://www.bcshguidelines.com>. Accessed on 5<sup>th</sup> May 2009.
2. PAPPAS, P. G., JAUFFMAN, C. A., ANDES, D. et al (2009) Clinical practice guidelines for the management of candidiasis: 2009 Update by the Infectious Disease Society of America. *Clinical Infectious Diseases*, vol 48 (19) pp 503 – 535.
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5. British HIV Association (2011) British HIV Association and British Infection Association Guidelines for the treatment of opportunistic infection in HIV-seropositive individuals 2011. *HIV Medicine*, vol 12 (Suppl. 2) pp1 – 5. Available at: [http://www.bhiva.org/documents/guidelines/oi/hiv\\_v12\\_is2\\_iss2press\\_text.pdf](http://www.bhiva.org/documents/guidelines/oi/hiv_v12_is2_iss2press_text.pdf)
6. FLÜCKIGER, U., MARCHETTI, O., BILLE, J. et al (2006) Treatment options of invasive fungal infections in adults. *Swiss Medical Weekly*, vol 136 (26) pp 447 – 463.
7. A joint collaboration between the Royal Free Hospital, University College Hospital and the North London Cancer Network (2005) Antifungal Strategy for Patients with Haematological Malignancies. *NLCN*

➤ **Compliance with this guideline**

**Tool to Develop Monitoring Arrangements for Policies and guidelines**

What key element(s) need(s) monitoring as per local approved policy or guidance?	Who will lead on this aspect of monitoring?  Name the lead and what is the role of the multidisciplinary team or others if any.	What tool will be used to monitor/check/observe/Assess/inspect/ authenticate that everything is working according to this key element from the approved policy?	How often is the need to monitor each element?  How often is the need complete a report?  How often is the need to share the report?	What committee will the completed report go to?
Element to be monitored	Lead	Tool	Frequency	Reporting arrangements
Compliance with antifungal treatment guideline. Appropriate dosing and duration of treatment of antifungal agents.	Respective speciality team supported by the Microbiology & Pharmacy Department.	In-house audit tool	Ad hoc as issues arises.	Respective departmental meeting.

To be completed and attached to any procedural document when submitted to the appropriate committee for consideration and approval

		Yes/No	Comments
1.	<b>Does the procedural document affect one group less or more favourably than another on the basis of:</b>		
	• Race	No	
	• Ethnic origins (including gypsies and travellers)	No	
	• Nationality	No	
	• Gender	No	
	• Culture	No	
	• Religion or belief	No	
	• Sexual orientation including lesbian, gay and bisexual people	No	
	• Age	No	
	• Disability - learning disabilities, physical disability, sensory impairment and mental health problems	No	
2.	<b>Is there any evidence that some groups are affected differently?</b>	No	
3.	<b>If you have identified potential discrimination, are any exceptions valid, legal and/or justifiable?</b>	No	
4.	<b>Is the impact of the procedural document likely to be negative?</b>	No	
5.	<b>If so can the impact be avoided?</b>	N/A	
6.	<b>What alternatives are there to achieving the procedural document without the impact?</b>	N/A	
7.	<b>Can we reduce the impact by taking different action?</b>	N/A	

If you have identified a potential discriminatory impact of this procedural document, please refer it to the Director of Human Resources, together with any suggestions as to the action required to avoid/reduce this impact.

For advice in respect of answering the above questions, please contact the Director of Human Resources.

## Checklist for the Review and Approval of Procedural Document

To be completed and attached to any procedural document when submitted to the relevant committee for consideration and approval.

	Title of document being reviewed:	Yes/No	Comments
<b>1.</b>	<b>Title</b>		
	Is the title clear and unambiguous?	Yes	
	Is it clear whether the document is a guideline, policy, protocol or standard?	Yes	
<b>2.</b>	<b>Rationale</b>		
	Are reasons for development of the document stated?	Yes	
<b>3.</b>	<b>Development Process</b>		
	Is it clear that the relevant people/groups have been involved in the development of the document?	Yes	
	Are people involved in the development?	Yes	
	Is there evidence of consultation with stakeholders and users?	Yes	
<b>4.</b>	<b>Content</b>		
	Is the objective of the document clear?	Yes	
	Is the target population clear and unambiguous?	Yes	
	Are the intended outcomes described?	Yes	
<b>5.</b>	<b>Evidence Base</b>		
	Are key references cited in full?	N/A	
	Are supporting documents referenced?	N/A	
<b>6.</b>	<b>Approval</b>		
	Does the document identify which committee/group will approve it?	Yes	
<b>7.</b>	<b>Dissemination and Implementation</b>		
	Is there an outline/plan to identify how this will be done?	Yes	
<b>8.</b>	<b>Document Control</b>		
	Does the document identify where it will be held?	Yes	
<b>9.</b>	<b>Process to Monitor Compliance and</b>		

	Title of document being reviewed:	Yes/No	Comments
	<b>Effectiveness</b>		
	Are there measurable standards or KPIs to support the monitoring of compliance with and effectiveness of the document?	Yes	
	Is there a plan to review or audit compliance with the document?	Yes	
<b>10.</b>	<b>Review Date</b>		
	Is the review date identified?	Yes	
	Is the frequency of review identified? If so is it acceptable?	Yes	
<b>11.</b>	<b>Overall Responsibility for the Document</b>		
	Is it clear who will be responsible for co-ordinating the dissemination, implementation and review of the document?	Yes	

#### Executive Sponsor Approval

If you approve the document, please sign and date it and forward to the author. Procedural documents will not be forwarded for ratification without Executive Sponsor Approval

Name		Date	
Signature			

#### Relevant Committee Approval

The Director of Nursing and Patient Experience's signature below confirms that this procedural document was ratified by the appropriate Governance Committee.

Name		Date	
Signature			

#### Responsible Committee Approval – only applies to reviewed procedural documents with minor changes

The Committee Chair's signature below confirms that this procedural document was ratified by the responsible Committee

Name		Date	
Name of Committee		Name & role of Committee Chair	
Signature			